



United States of America  
SMALL BUSINESS ADMINISTRATION  
**NAME CHECK**

**Please Read Carefully - Print or Type**

Each member of a small business concern requesting assistance or the development company must submit this form in TRIPLICATE for filing with the SBA application. This form must be filled out and submitted by:

1. If a sole proprietorship by the proprietor.
2. If a partnership by each partner.
3. If a corporation or a development company, by each officer, director, and additionally by each holder of 20% or more of the voting stock.
4. Any other person including a hired manager, who has authority to speak for and commit the borrower in the management of the business.

Name and Address of Applicant (Firm Name) (Street, City, State and ZIP Code)

**S&S LTD., A California General Partnership**  
**2859 Aiello Drive**  
**San Jose, CA 95111**

SBA District Office and City

**San Francisco**

Amount Applied for:

**\$158,000.00**

1. Personal Statement of: (State name in full, if no middle name, state (NMN), or if initial only, indicate initial). List all former names used, and dates each name was used. Use separate sheet if necessary.

First Middle Last

2. Date of Birth: (Month, day and year)

If no, give alien registration number:

#

4. Give the percentage of ownership or stock owned or to be owned in the small business concern or the Development Company.

**50%**

Social Security No.

5. Present residence address:

From: **4/82** To: **present**

Address:

City

State

**CA**

Home Telephone No. (Include A/C):

Business Telephone No. (Include A/C):

Immediate past residence address:

From: **4/76** To: **4/82**

Address:

**CA****BE SURE TO ANSWER THE NEXT 3 QUESTIONS CORRECTLY BECAUSE THEY ARE IMPORTANT.**

**THE FACT THAT YOU HAVE AN ARREST OR CONVICTION RECORD WILL NOT NECESSARILY DISQUALIFY YOU. BUT AN INCORRECT ANSWER WILL PROBABLY CAUSE YOUR APPLICATION TO BE TURNED DOWN.**

6. ☐ Yes ☒ No

**NO PERTINENT DATA**

This reply is a result of a check of FBI Headquarters investigative files only. To check arrest records, request must be submitted to FBI Identification Division. Fingerprints are necessary for a positive check.

7. ☐ Yes ☒ No

*(San Jose RA) advised that Snodgrass was not prosecuted. 5/2/88 NCSH/jlb*

8. ☐ Yes ☒ No

9. Name and address of participating bank

**The Money Store Investment Corporation**  
**1155 North First Street, #100**  
**San Jose, CA 95112**

The information on this form will be used in connection with an investigation of your character. Any information you wish to submit, that you feel will expedite this investigation should be set forth.

Whoever makes any statement knowing it to be false, for the purpose of obtaining for himself or for any applicant, any loan, or loan extension by renewal, deferment or otherwise, or for the purpose of obtaining, or influencing SBA toward, anything of value under the Small Business Act, as amended, shall be punished under Section 16(a) of that Act, by a fine of not more than \$5000.

S

Title

Date

**Individual/Partner**

It is against SBA's policy to provide assistance to persons not of good character and therefore consideration is given to the qualities and personality traits of a person, favorable and unfavorable, relating thereto, including behavior, integrity, candor and disposition toward criminal actions. It is also against SBA's policy to provide assistance not in the best interests of the United States, for example, if there is reason to believe that the effect of such assistance will be to encourage or support, directly or indirectly, activities inimical to the Security of the United States. Anyone concerned with the collection of this information, as to its voluntariness, disclosure or routine uses may contact the FOIA Office, 1441 "L" Street, N.W., and a copy of 59 "Agency Collection of Information" from SOP 40 04 will be provided.

[REDACTED]

SF 176B-808

SF FTS 448-9000 closed files section  
(Ratividad)

b6  
b7C

called 2:34pm 4/25

Per [REDACTED]

SA [REDACTED] - San Jose, Cal. X670 - Case Agent  
Was not prosecuted 5/2/88  
/lh

FR UTD

4-22b (Rev. 11-13-85)

ARMY-DUVAL 479

Subject

R#

94

Date

3-16

Searcher  
Number

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Prod.

MAR 25 1988

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196-1915 I

SI

APR 22 1988

01

FBI/DOJ

FBI

## TRANSMIT VIA:

☐ Teletype  
☐ Facsimile  
☐ Airtel

## PRECEDENCE:

☐ Immediate  
☐ Priority  
☐ Routine

## CLASSIFICATION:

☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☐ UNCLAS

Date 4/18/83

TO: DIRECTOR, FBI (196-1915)  
 (ATTN: [REDACTED] SPECIAL PROJECTS SECTION,  
 GRAPHIC PRESENTATION UNIT)

FROM: SAC, SAN FRANCISCO (196B-808) (P) (PARA)

SUBJECT: [REDACTED] dba  
 SCIENTIFIC CUSTOM METAL PRODUCTS, INC.,  
 TWO SUNS MANUFACTURING, INC.;  
 [REDACTED] dba  
 SCIENTIFIC CUSTOM METAL PRODUCTS, INC.  
 S & S PRECISION, INC.;  
 [REDACTED] dba  
 S & S PRECISION, INC.;  
 [REDACTED] dba  
 TWO SUNS MANUFACTURING, INC.;  
 [REDACTED] dba  
 NORTHWEST CONSULTANTS;  
 [REDACTED] dba  
 MANAGERIAL MANPOWER CONSULTANTS;  
 [REDACTED] dba  
 MATTHEWS CONSULTING SERVICE;  
 [REDACTED] dba  
 ALPINE ENTERPRISES  
 FRAUD BY WIRE; MAIL FRAUD; POSSIBLE CONSPIRACY (B)  
 OO: SAN FRANCISCO

Reference Report of San Francisco SA [REDACTED]  
 6/30/82 and San Francisco Report of SA [REDACTED] 12/30/82.

Enclosed for the Bureau is a rough draft of what will  
 be needed for trial purposes.

For the information of the Bureau, [REDACTED]  
 Sr., former vendor of Four Phase Systems, Inc., and [REDACTED]

3 - Bureau (196-1915) (Encl. 1)  
 2 - San Francisco (196B-808)  
 JWR/dma  
 (5)

WD:8302576

Approved: [Signature]

Transmitted

(Number)

(Time)

Per [Signature]

b6  
b7c

SF 196B-808  
JWR/dma

former buyer at Four Phase Systems were indicted by the Northern District Federal Grand Jury on fifteen counts of Mail Fraud. *THE AUSA.* further advised that he intends to indict [redacted] Linville, both former buyers at Atari, Inc., and [redacted] and [redacted] [redacted] between July and August of 1983. He advised that these indictments will involve two separate trials and he will need additional graphs for court purposes at that time.

San Francisco is presently preparing rough drafts in anticipation of these later indictments and will submit them at a later date to Special Project Section.

For the information of the Special Project Section, the enclosed rough draft represents kickback payments totaling \$224,512.46, paid to [redacted] company, Managerial Manpower Consultants, while he was a buyer at Four Phase Systems, Inc., Cupertino, California. These kickbacks were paid by vendors of Four Phase Systems and represented approximately ten percent of the business they received from Four Phase Systems. Any questions concerning this graph should be directed to SA [redacted] at Palo Alto Resident Agency, FTS Number [redacted]

TRANSMIT VIA: Airtel

CLASSIFICATION: \_\_\_\_\_

DATE: 5/24/83

FROM: Director, FBI (196-1915)

TO: SAC, San Francisco (196B-808)

[redacted], dba  
SCIENTIFIC CUSTOM METAL PRODUCTS, INC.,  
TWO SUNS MANUFACTURING, INC.;

ET AL;

FRAUD BY WIRE; MAIL FRAUD; POSSIBLE CONSPIRACY (B)

Re unairtel dated 4/18/83.

Thereby(are) being forwarded to

Palo Alto Resident Agency  
3rd Floor, 430 Sherman St.  
Palo Alto, California  
Attn: SA [redacted]

by Profit by Air #89909665the following One 40"x60" and one 30"x40" trial aid

prepared by Special Projects Section, Laboratory Division, re captioned matter.

The following action should be taken by your office:

## TRIAL EXHIBITS OR OTHER VISUAL AIDS

- ☒ Check the above items against submitted work papers or roughs.
- ☒ Advise FBIHQ, attention Special Projects Section, Laboratory Division, (within ninety-days), re the use and effectiveness of these items, including all pertinent comments.

## ARTIST'S CONCEPTIONS OR RETOUCED PHOTOGRAPHS

- ☐ Have witness(es) view item(s) for evaluation.
- ☐ If modification is necessary, submit additional information and any pertinent comments of witness(es) to FBIHQ, Special Projects Section, Laboratory Division.
- ☐ Advise (within ninety-days) re the use and effectiveness of the above items. Upon apprehension of subject(s), submit arrest photographs.

One 8½" x 11" photocopy of each trial aid is enclosed in the package.

1 - Package

DE-20

196-1915-13

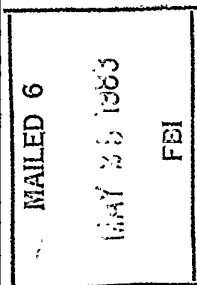
CSG:epc  
6.10.11.13

Enc.

MAIL ROOM

17 MAY 27 1983

FBI/DOJ



# Memorandum



To : DIRECTOR, FBI (196-1915)  
ATTN: SPECIAL PROJECTS SECTION

Date 4/5/84

From : SAC, SAN FRANCISCO (196B-808) (P) (SJRA)

Subject :

[REDACTED]  
dba SCIENTIFIC CUSTOM METAL  
PRODUCTS, INC.; ET AL;  
FBW; MF; POSSIBLE CONSPIRACY  
AND OBSTRUCTION OF JUSTICE  
(OO:SF)

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b7C

ReBuRS to San Francisco, 3/13/84, and 9-161, dated 5/24/83.

This case has been a target case of the San Francisco Division involving numerous individuals and companies engaged in receiving and paying kickbacks. Thusfar, there have been 6 convictions with substantial fines and jail sentences meted out.

In April, 1983, in preparation for the first of several trials anticipated concerning this matter, FBIHQ Special Projects Section was requested to prepare a very complicated and detailed chart. The chart was completed in a very timely manner and done in a most professional way. Although it was not used in court trial, as was originally intended, it was used by the US Attorney's Office in a pretrial sentencing memorandum against one of the subjects. It was also accessible to the remaining defendants, who were under indictment.

All 6 defendants pled guilty and it is felt that the chart contributed in part to the defendants' decision to plea bargain. It is also felt that the chart contributed to a substantial jail sentence against one of the subjects.

San Francisco Division is grateful to FBIHQ Special Projects Section for their timely and professional work.

③ - Bureau  
(1 - Special Projects Section)  
2 - San Francisco

JWR:htc  
(5)

ORIGINAL COPY

8-463-7215

b6  
b7c

Transmit attached by Facsimile - UNCLAS

Precedence \_\_\_\_\_

To: PALO ALTO SF 196B-808

Date: 5-18-83

From: DIRECTOR, FBI 196-1915

Time: Transmitted -

Subject:

Initials - Csg

ET AL

FBW; MF; POSSIBLE CONSPIRACY

☐ Fingerprint Photo ☐ Fingerprint Record ☐ Map ☐ Newspaper clipping ☐ Photograph☐ Artists Conception☒ Other PROOF CHARTS

Special handling instructions:

ATTN: SA

• Please CONTACT

FOR PRINTING, SPS, FTS 324-4225

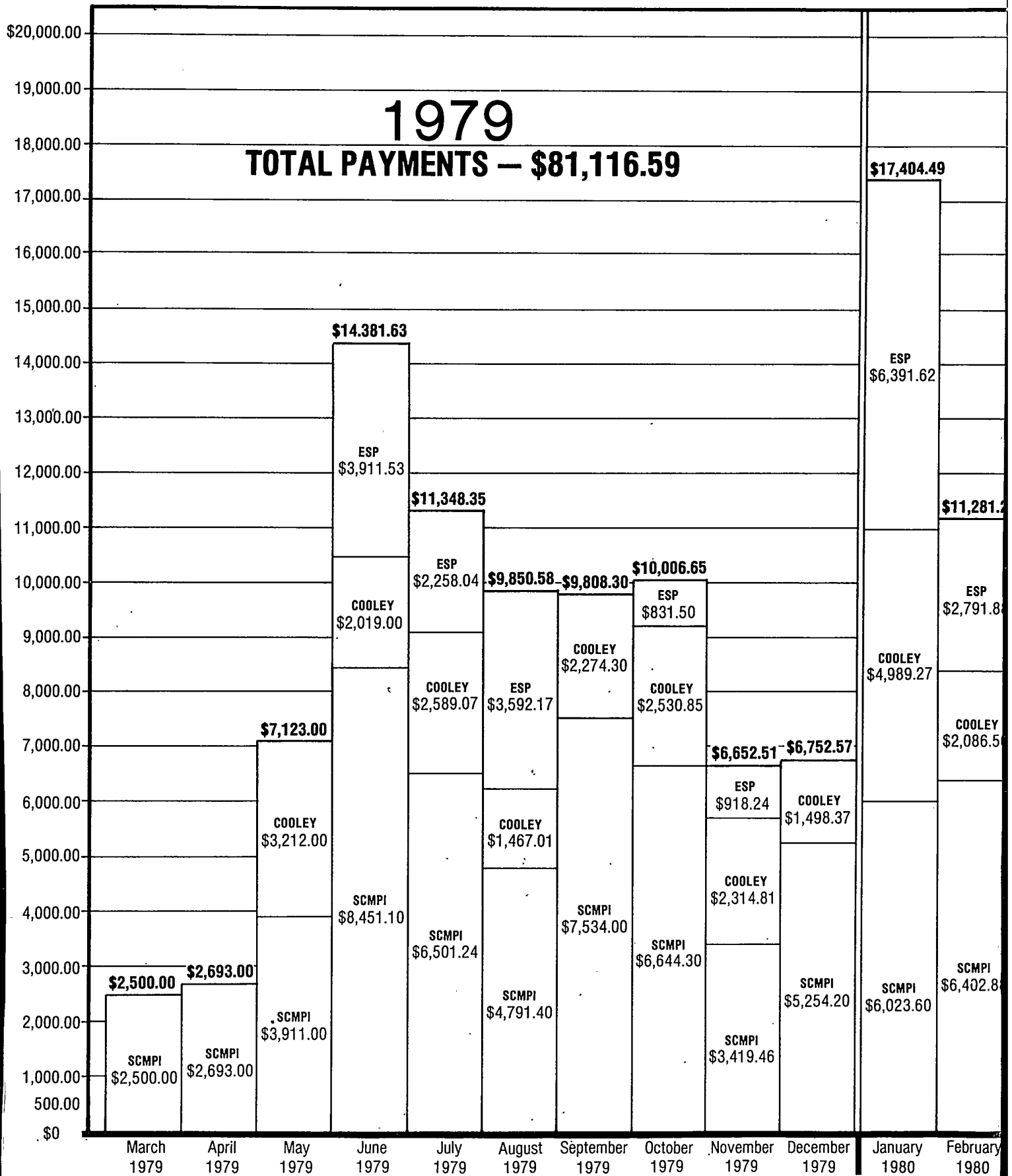
Approved: \_\_\_\_\_

# PAYMENTS MADE TO MANAGERIAL MANPOWER CONSULTANTS

<input type="checkbox"/> <b>SCMPI</b> SCIENTIFIC CUSTOM METAL PRODUCTS, INC. 1979 MARCH — DECEMBER 1980 JANUARY — DECEMBER	       <b>\$51,699.70</b>       <b>48,877.67</b>	              <b>100,577.37</b>
<input type="checkbox"/> <b>COOLEY</b> COOLEY ASSOCIATES 1979 MAY — DECEMBER 1980 JANUARY — DECEMBER	       <b>17,905.41</b>       <b>24,151.23</b>	              <b>42,056.64</b>
<input type="checkbox"/> <b>ESP</b> ELECTRONIC SALES PRODUCTS 1979 JUNE — NOVEMBER 1980 JANUARY — OCTOBER	       <b>11,511.48</b>       <b>17,416.70</b>	              <b>28,928.18</b>
<input type="checkbox"/> <b>HAMILTON</b> HAMILTON MANUFACTURING, INC. 1980 JUNE — DECEMBER 1981 JANUARY — JUNE	       <b>38,555.00</b>       <b>14,395.00</b>	              <b>52,950.00</b>
<input type="checkbox"/> <b>EMP</b> ELECTRONIC METAL PRODUCTS 1980 JULY — NOVEMBER 1981 JANUARY	       <b>7,477.72</b>       <b>1,198.50</b>	              <b>18,676.22</b>
	<b>TOTAL <u>\$233,188.41</u></b>	



# PAYMENTS MADE TO MANAGERIAL MANPOWER



<input type="checkbox"/>	ELECTRONIC METAL PRODUCTS (EMP)
<input type="checkbox"/>	SCIENTIFIC CUSTOM METAL PRODUCTS, INC. (SCMPI)
<input type="checkbox"/>	COOLEY ASSOCIATES
<input type="checkbox"/>	ELECTRONIC SALES PRODUCTS (ESP)
<input type="checkbox"/>	HAMILTON MANUFACTURING, INC.

[illegible]

Transmit attached by Facsimile - UNCLAS

Precedence \_\_\_\_\_

To: Palo Alto SF 196B-808

Date: 5-3-83

From: DIRECTOR, FBI 196-1915

Time Transmitted - 4 11:30A

Subject:

[Redacted Subject Box]

Initials - Wg

ET AL

FRW; MF; POSSIBLE CONSPIRACY

☐ Fingerprint Photo ☐ Fingerprint Record ☐ Map ☐ Newspaper clipping ☐ Photograph

☐ Artists Conception

☒ Other PROOF CHART

Special handling instructions:

ATTN: SA

[Redacted Special Handling Instructions Box]

Approved: \_\_\_\_\_

[Signature]

REVISED 2-1-83

**DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
INTERNAL ROUTING ACTION SLIP**

TO	TL #	ROOM	NAME/TITLE	ROOM	NAME/TITLE
	235	7142		243	5425
	235	7116	EAD-INV.	243	5423
	235	7110			
				243	5434
	245	7116	CONG. & PUBLIC AFFAIRS	244	6362
	341	11255	IDENTIFICATION DIVISION	243	5224
	152	QUANTICO	TRAINING DIVISION	243	5437
	234	6012	ADMINISTRATIVE SERVICES DIV.	243	5438
	211	5829	RECORDS MANAGEMENT DIVISION		
	232	4026	INTELLIGENCE DIVISION	211	5843
	233	5012	CRIMINAL INVESTIGATIVE DIV.	225	4859
	241	3090	LABORATORY DIVISION	243	5431
	213	7159	TECHNICAL SERVICES DIVISION	243	5200
	245	7427	LEGAL COUNSEL DIVISION		
	213	7125	INSPECTION DIVISION	143	5447A
				222	5648
	211	5829		152	18327
	211	5829		115	4543A
				351	6712
	211	5835		244	6248
	314	6296		111	4336C
	211	5644		253	1127
				122	5991
	213	7326	CIVIL LITIGATION UNIT	244	6247
	231	3648	IPALU	153	1262
	232	4634	LIAISON UNIT		
	341	11262			
			SA George J. Lane	OK TO send GJK	
			Rm 3835		
			FL 231		
			Rm 5210		
			TL 243		

- |   |   |
|---|---|
| <input type="checkbox"/> Call Me        | <input type="checkbox"/> For Your Info.   |
| <input type="checkbox"/> See Me         | <input type="checkbox"/> Note & Return    |
| <input type="checkbox"/> Approp. Action | <input type="checkbox"/> Record & Return  |
| <input type="checkbox"/> Initial        | <input type="checkbox"/> See Reverse Side |
| <input type="checkbox"/> Per Inquiry    |   |

FROM

**EAU, ROOM 5210/5224**  
DOCUMENT CLASSIFICATION & REVIEW SECTION  
RECORDS MANAGEMENT DIVISION

Remarks

Room **5210** TL # **243** Phone **4874** Date **8/16**

FBI/DOJ

4/7/na

August 16, 1983

[redacted]

Attached hereto is an incoming Airtel and LHM from San Francisco, captioned [redacted]  
[redacted], Et al; FBW; MF; Possible Conspiracy (B); OO: San Francisco," in response to name check request from SBA re [redacted]  
[redacted] Do you have any objections to the dissemination of this LHM to SBA?

Many thanks again for your help in this matter.

Attached is a copy of SBA  
name check request regarding a  
pending case in SF. Also  
attached is my proposed letter to  
SF. Do you approve?

yes  
GR

**DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
INTERNAL ROUTING/ACTION SLIP**

[illegible]

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b7C

- |   |   |
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| <input type="checkbox"/> Call Me        | <input type="checkbox"/> For Your Info.   |
| <input type="checkbox"/> See Me         | <input type="checkbox"/> Note & Return    |
| <input type="checkbox"/> Approp. Action | <input type="checkbox"/> Record & Return  |
| <input type="checkbox"/> Initial        | <input type="checkbox"/> See Reverse Side |
| <input type="checkbox"/> Per _____      |   |

**FROM**

CRIMINAL INVESTIGATIVE DIVISION

Remarks:

I Believe You  
ANSWER IS NO START YOU

### Room

Room 2635

TL #	
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231

**Phone**

Phone 5050

Date \_\_\_\_\_

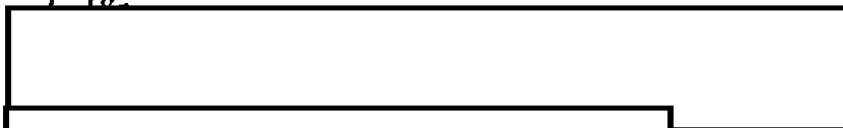
Date 6/22/83

FBI/DOJ

FBI/DOJ  
4/7/na

FBI

Pa



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b7C

3rd floor.

att



SCMP1 1979

2 500.00  
2 693.00  
3 911.00  
8 451.10  
6 501.24  
4 791.40  
7 534.00  
6 644.30  
3 419.46  
5 254.20  

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51,699.70

1980

6,023.60  
6,402.88  
2,324.10  
3,075.79  
2,698.20  
5,534.00  
3,852.30  
3,133.30  
4,342.50  
2,938.00  
3,848.00  
4,765.00  

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48,877.67

COOLEY

1979

3212.00  
2019.00  
2589.07  
1467.01  
2274.30  
2530.85  
2314.81  
1498.37

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17,905.41

1980

4989.27  
2086.50  
686.32  
3630.92  
1749.51  
3162.12  
3378.30  
1324.83  
1266.15  
438.18  
1442.13

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24,151.23

ESP

1980

1979

6391.62.

2791.88.

1094.40

1827.60.

4201.66.

1109.54.

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17,416.70

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918.24

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HAMILTON

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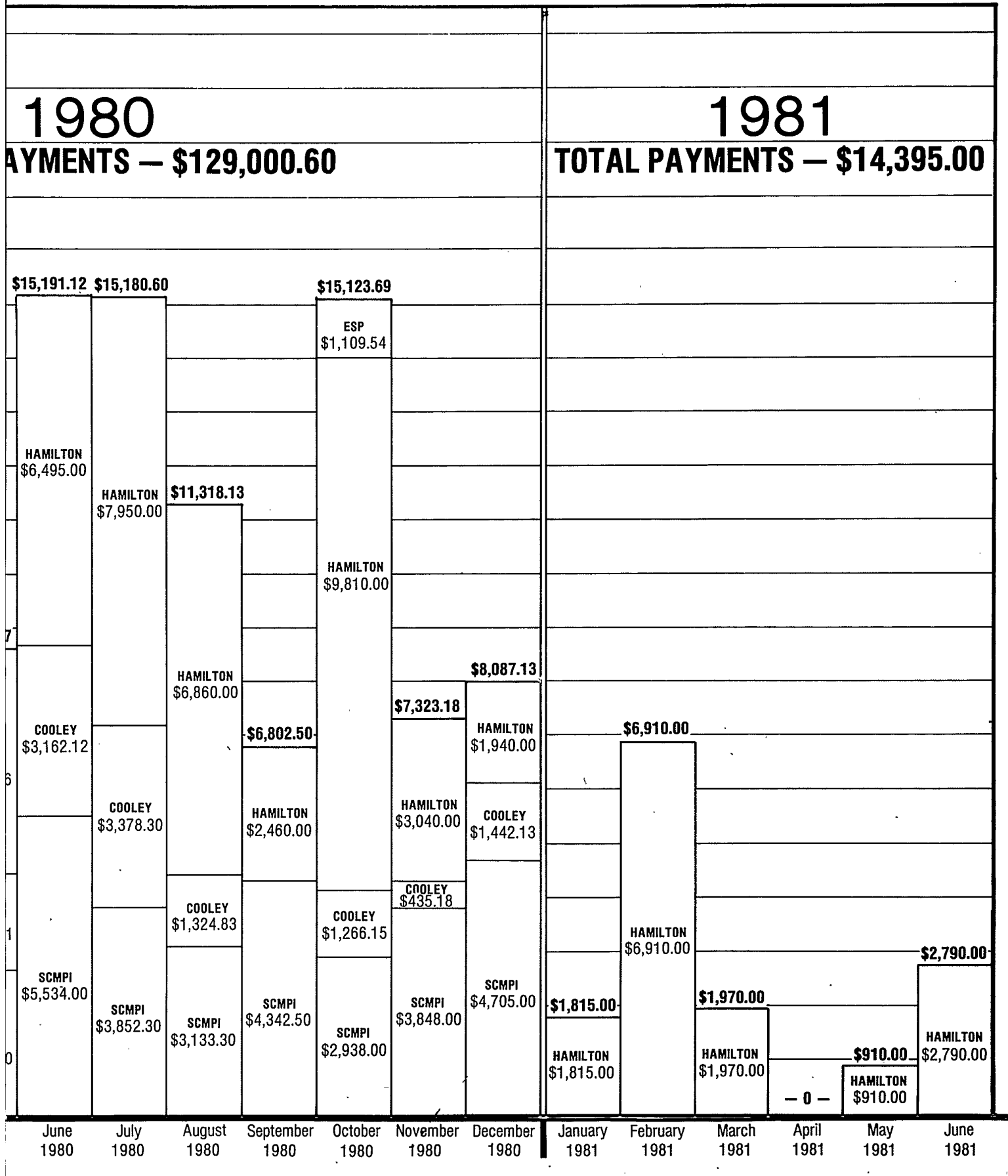
1981

14,395.00



# MENTS

- SCIENTIFIC CUSTOM METAL PRODUCTS, INC. (SCMPI)
- COOLEY ASSOCIATES
- ELECTRONIC SALES PRODUCTS (ESP)
- HAMILTON MANUFACTURING, INC.



1979

1980

1981

1/1 EXTENDED  
60 p.

TOTAL PAYMENTS - (3)

\$ 81,116.59

\$ 129,000.60

\$ 14,395.00

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ABC  
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224512.19  

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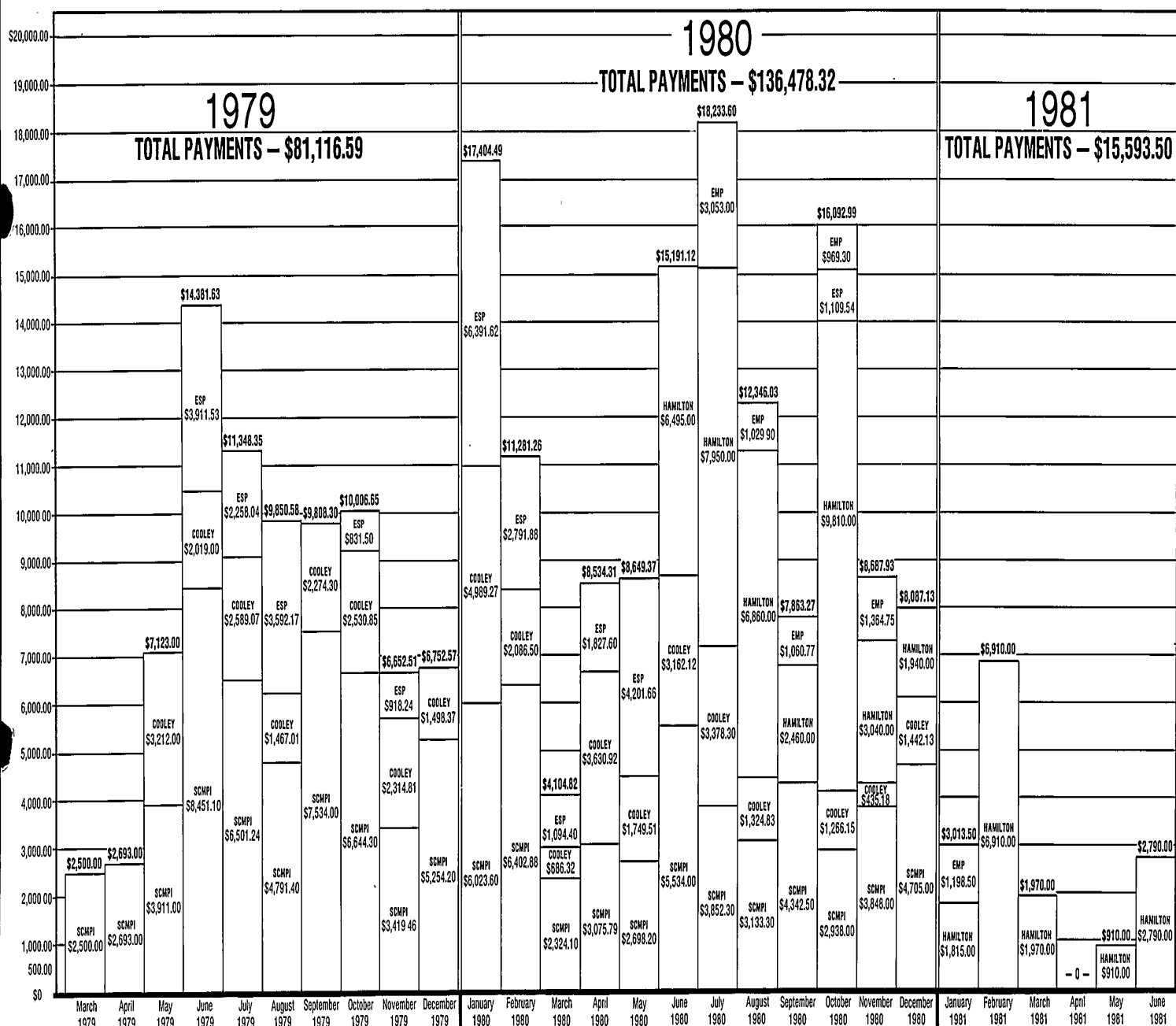
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# PAYMENTS MADE TO MANAGERIAL MANPOWER CONSULTANTS BY CERTAIN FOUR PHASE SYSTEMS, INC. VENDORS

		1	2	3	4
1					
2					
3	SCMPI				
4	1979				
5	MARCH - DECEMBER	5169970			
6	1980				
7	JANUARY - DECEMBER	4887767	10057737		
8					
9	COOLEY				
10	1979				
11	MAY 1 - DECEMBER	1790541			
12	1980				
13	JANUARY - DECEMBER	2415123	4205664		
14					
15	ESP				
16	1979				
17	JUNE - NOVEMBER	1151148			
18	1980				
19	JANUARY - OCTOBER	1741670	2892818		
20					
21					
22	HAMILTON				
23	1980				
24	JUNE - DECEMBER	3855500			
25	1981				
26	JANUARY - JUNE	1439500	5295000		
27	TOTAL		24451219		
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# PAYMENTS MADE TO MANAGERIAL MANPOWER CONSULTANTS

- ELECTRONIC METAL PRODUCTS (EMP)
- SCIENTIFIC CUSTOM METAL PRODUCTS, INC. (SCMPI)
- COOLEY ASSOCIATES
- ELECTRONIC SALES PRODUCTS (ESP)
- HAMILTON MANUFACTURING, INC.



# Memorandum



To : SAC, San Francisco (196B-808)

Date July 5, 1983

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b7C

From : Director, FBI (196-1915)

Subject :

SMALL BUSINESS ADMINISTRATION (SBA)  
NAME CHECK REQUEST  
BUDED: AUGUST 1, 1983

The Bureau is in receipt of a name check request from SBA concerning captioned individual, born August 20, 1945, in San Francisco, California, a copy of which is attached.

San Francisco is requested to review its file captioned [redacted] dba Scientific Custom Metal Products, Inc., Two Suns Manufacturing, Inc.; Richard Snodgrass, dba Scientific Custom Metal Products, Inc., S & S Precision Inc. [redacted] dba Two Suns Manufacturing, Inc.; [redacted] dba Northwest Consultants; [redacted] dba Managerial Manpower Consultants; [redacted] dba Matthews Consulting Service; [redacted] dba Alpine Enterprises; Fraud By Wire; Mail Fraud; Possible Conspiracy (B); Office of Origin: San Francisco," in which subject [redacted] appears identifiable to subject of name check request.

For your information, the FBI is authorized to conduct name checks and disseminate information to Federal executive branch agencies in accordance with established procedures (See MAOP, Part 2, Section 9, 9.3).

Where name checks relate to pending investigative matters, as indicated in Headquarters file, it is our policy to contact the appropriate Office of the United States Attorney for dissemination guidance from a prosecutive standpoint. Also, specifically ascertain if subject is aware of the instant investigation and whether SBA can divulge the fact that captioned individual is the subject of FBI investigation.

Promptly submit the results of this contact, and by enclosed letterhead memorandum suitable for dissemination, the information authorized, concerning captioned individual, marked to the attention of the Executive Agencies Unit, Room 5218, FBIHQ.

Enclosure

Exec. AD-Adm.  
Exec. AD-Inv.  
Exec. AD-LES  
Asst. Dir.:

Adm. Servs.  
Crim. Inv.  
Ident.  
Inspection  
Intell.

Laboratory  
Legal Coun.

Off. of Cong.

& Public Affs.

Rec. Mgnt.

Tech. Servs.

Training

Telephone Rm.

Director's Sec'y

1 - [redacted]  
1 - [redacted]  
1 - [redacted]

Request Received: 5/16/83

MA: 61 f (16)

MAIL ROOM

Room 5218

Room 3835, TL 231

(SEE NOTE PAGE 2)

MAILED 6

JUL 07 1983

see index

1C

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Qus

Memorandum from Director, FBI to SAC, San Francisco

Re: [REDACTED]

b6  
b7C

NOTE: Response coordinated with SA [REDACTED] White Collar Crimes Program, Criminal Investigative Division. As of April 18, 1983, case is pending in San Francisco Division. SBA is being requested to resubmit in 30 days. Response delinquent due to mail on locate and necessary handling by Repair Unit.

[Redacted]

5510

SEARCH SLIP

Subj:

[Redacted]

R#

Date

5/9

Searcher

Number

55

b6

b7C

Prod:

MAY 11

FILE NUMBER

18

SERIAL

[Redacted]

196-1915 I

L-1

5/23/83

ltd w/ 12, <sup>41</sup>da/18/83,  
on special locate

MAY 16 1983

4/

rma  
FBI/DOJ



United States of America  
SMALL BUSINESS ADMINISTRATION  
**NAME CHECK**

Return Executed Copies 1, 2, and 3 to SBA

**Please Read Carefully - Print or Type**

Each member of the small business concern requesting assistance or the development company must submit this form in TRIPLICATE with the SBA application. This form must be filled out and submitted by:

1. If a sole proprietorship, by the proprietor.
2. If a partnership, by each partner.
3. If a corporation or a development company, by each officer, director, and additionally, by each holder of 20% or more of the voting stock.
4. Any other person, including a hired manager, who has authority to speak for and commit the borrower in the management of the business.

APR 29 1983

Name and Address of Applicant (Firm Name)(Street, City, State and ZIP Code)

SBA District Office and City

SACRAMENTO DEO

Amount Applied for:

\$30,000.00

1. Personal Statement of: (State name in full, if no middle name, state (NMN), or if initial only, indicate initial). List all former names used, and dates each name was used. Use separate sheet if necessary.

First Middle Last

2. Date of Birth: (M)

3. Place of Birth: (City & State or Foreign Country)

SAW + RANWISCO CA.

U.S. Citizen? ☒ yes ☐ no

If no, give alien registration number:

4. Give the percentage of ownership or stock owned or to be owned in the small business concern or the Development Company.

20%

5. Present residence address

From

To

1975

Present

City

State

SAW JOSE CA. 95118

Business

From

To

1970

1975

Address

S-J-95123

**BE SURE TO ANSWER THE NEXT 3 QUESTIONS CORRECTLY BECAUSE THEY ARE IMPORTANT.**

**THE FACT THAT YOU HAVE AN ARREST OR CONVICTION RECORD WILL NOT NECESSARILY DISQUALIFY YOU. BUT AN INCORRECT ANSWER WILL PROBABLY CAUSE YOUR APPLICATION TO BE TURNED DOWN.**

6.

☐ Yes ☒ No

7.

☐ Yes ☒ No

8.

☐ Yes ☒ No

9. Name and address of participating bank

CROCKER BANK  
HACIENDA BRANCH, SAW JOSE CA.

The information on this form will be used in connection with an investigation of your character. Any information you wish to submit, that you feel will expedite this investigation should be set forth.

196-1915-14

4/ma

Whoever makes any statement knowing it to be false, for the purpose of obtaining for himself or for any applicant, any loan, or loan extension by renewal, deferment or otherwise, or for the purpose of obtaining, or influencing SBA toward, anything of value under the Small Business Act, as amended, shall be punished under Section 16(a) of that Act, by a fine of not more than \$5000, or by imprisonment for not more than 2 years, or both.

Signature

Title

Sales CONSULTANT  
Sales MANAGER

Date

3-15-83

It is against SBA's policy to provide assistance to persons not of good character and therefore consideration is given to the qualities and personality traits of a person, favorable and unfavorable, relating thereto, including behavior, integrity, candor and disposition toward criminal actions. It is also against SBA's policy to provide assistance not in the best interests of the United States, for example, if there is reason to believe that the effect of such assistance will be to encourage or support, directly or indirectly, activities inimical to the Security of the United States. Anyone concerned with the collection of this information, as to its voluntariness, disclosure or routine uses may contact the FOIA Office, 1441 L Street, N.W., and a copy of 15 "Agency Collection of Information" from SOP 40 04 will be provided.

SBA FORM 912 (1-81) SOP 50 10 1 EDITION OF 3-79 WILL BE USED UNTIL STOCK IS EXHAUSTED

2. This copy to be submitted to:  
SBA, SECURITY AND INVESTIGATIONS, DIV.  
1441 L ST., N.W., WASHINGTON, D.C. 20416

FBI

## TRANSMIT VIA:

☐ Teletype  
☐ Facsimile  
☒ AIRTEL

## PRECEDENCE:

☐ Immediate  
☐ Priority  
☒ Routine

## CLASSIFICATION:

☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☒ UNCLAS

Date 7/26/83

b6  
b7c

TO : DIRECTOR, FBI (196-1915)  
 (Attention: Executive Agencies Unit, Room 5218)

FROM : SAC, SAN FRANCISCO (196B-808)(P)(PARA)

SUBJECT: [REDACTED], Et al;  
 Fraud by Wire;  
 Mail Fraud;  
 Possible Conspiracy (B);  
 OO: San Francisco

Re: Letter from the Director to San Francisco dated 7/5/83  
 under captioned [REDACTED]

Enclosed for the Bureau are five copies of an LHM  
 concerning [REDACTED] which is self explanatory.

San Francisco's investigation concerning this matter is  
 continuing and the Bureau will be advised of the results.

3 - Bureau (Enc. 5)  
 2 - San Francisco  
 JWR/cab  
 (5)

- 1915 - 15  
 2 copies of LHM detached  
 and dissem to SBA  
 per SA [REDACTED]  
 8/19/83 vna.

10 AUG 3 1983

Approved: [Signature]

Transmitted

(Number)

(Time)

4 SEP 1983

ROUTED 3835



U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to  
File No.

San Francisco, California

July 26, 1983

b6  
b7C

[REDACTED]  
MANAGERIAL MANPOWER CONSULTANTS,  
VIDEO MANIA

As a result of an investigation by the Federal Bureau of Investigation involving [REDACTED] on April 14, 1983 the Federal Grand Jury, U.S. District Court, Northern District of California returned a True Bill charging [REDACTED] and [REDACTED] with [REDACTED] counts of mail fraud. The indictment alleged that a scheme was devised by [REDACTED] a buyer at Four Phase Systems, Incorporated, Cupertino, California between March 1, 1979 and October 15, 1980 to defraud Four Phase Systems, Corporation of over \$100,000.00. The indictment alleged that [REDACTED] was aided and abetted by [REDACTED]. The indictment further alleged that during that same period of time, [REDACTED] received illegal kickback payments in excess of \$100,000.00 from other suppliers of Four Phase Systems Corporation. The indictment alleged that Managerial Manpower Consultants was established [REDACTED] in order to receive these illegal kickback payments, which payments were falsely billed as Consulting Services.

On June 10, 1983, [REDACTED] pled guilty to one count of the fifteen count mail fraud indictment and on July 14, 1983, [REDACTED] pled guilty (nolo contendere) of two counts of the fifteen count indictment. Sentencing for [REDACTED] was set for September 9, 1983, before Judge Robert P. Aguilar in San Jose, California.

[REDACTED] is described as follows: White male, date of birth [REDACTED], [REDACTED] pounds, address [REDACTED], [REDACTED], San Jose, California.

-----  
This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

- 1\* -

100-1715-15

ENCLOSURE

FD-515 (Rev. 12-15-80)  
**ACCOMPLISHMENT REPORT**

(Submit within 30 days from date of accomplishment)

b6  
b7C

Date 8-17-83

TO: DIRECTOR, FBI

196-1915

Bureau File Number

FROM: SAC, SAN FRANCISCO

196B-808

Field Office File Number

SUBJECT:

ET AL  
FBW; MF; POSSIBLE  
CONSPIRACY  
OO: SF

0644

Squad or RA Number

**Investigative Assistance or Techniques Used**

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☐ No ☐ Yes - If Yes, rate each used as follows:

- 1 = Used, but did not help  
2 = Helped, but only minimally  
3 = Helped, substantially  
4 = Absolutely essential

1. Acctg Tech Assistance	6. ELSUR - Title III	11. Lab Div Field Support	16. Surveill. Sqd Asst
2. Aircraft Assistance	7. Hypnosis Assistance	12. Pen Registers	17. SWAT Team Action
3. Computer Assistance	8. Ident Div Assistance	13. Photographic Coverage	18. Telephone T. Records
4. Consensual Monitoring	9. Informant Information	14. Polygraph Assistance	19. Undercover Operation
5. ELSUR - FISC	10. Lab Div Exams	15. Show Money Usage	20. Visual Invest Analysis (VIA)

b7E

<b>A. Preliminary Judicial Process</b> (Number of subjects)		Complaints	Informations	Indictments	<b>D. Recoveries, Restitutions, Court Ordered Forfeitures or Potential Economic Loss Prevented (PELP)</b>			
				5	Property or PELP Type Code *	Recoveries	Restitutions	Potential Economic Loss Prevented
<b>B. Arrests, Locates &amp; Summonses</b> (Number of subjects)		Subject Priority (See Reverse)						
		A	B	C				
FBI Arrests -					\$	\$	\$	\$
FBI Locates -					\$	\$	\$	\$
Criminal Summons <u>5</u>					\$	\$	\$	\$
Number of Subjects of FBI Arrests Who Physically Resisted _____					\$	\$	\$	\$
Number of Subjects of FBI Arrests Who Were Armed _____					\$	\$	\$	\$
<b>C. Release of Hostages: (Number of Hostages Released)</b>					<b>E. Civil Matters</b>			
Hostages Held By Terrorists _____; All Other Hostage Situations _____					Government Defendant		Government Plaintiff	
					\$	\$		
					\$	\$	Enter AFA Payment Here	

**F. Final Judicial Process:** Judicial District ND CA (Use two letter state abbreviations per U.S. Post Office Guide. For Example - The Northern District of Texas as ND TX; The as ME in the state field only.)

Subject 1 - Name - [REDACTED] Subject's Description Code \* - 8A

<input type="checkbox"/> Pretrial <input type="checkbox"/> Diversion <input type="checkbox"/> Dismissal <input type="checkbox"/> Acquittal	<b>- Convictions -</b> Enter conviction and sentence data in space at right. If more than four sections are involved, limit to the four most relevant.	<input checked="" type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor <input checked="" type="checkbox"/> Plea <input type="checkbox"/> Trial	Conviction			In-Jail Term		Suspended		Probation		Fine
			Title	Section	Counts	Yrs	Mos	Yrs	Mos	Yrs	Mos	
			18	1341	1			2		2		\$1,000.00
												\$
												\$

Subject 2 - Name - [REDACTED] Subject's Description Code \* - 16

<input type="checkbox"/> Pretrial <input type="checkbox"/> Diversion <input type="checkbox"/> Dismissal <input type="checkbox"/> Acquittal	<b>- Convictions -</b> Enter conviction and sentence data in space at right. If more than four sections are involved, limit to the four most relevant.	<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor <input type="checkbox"/> Plea <input type="checkbox"/> Trial	Conviction			In-Jail Term		Suspended		Probation		Fine
			Title	Section	Counts	Yrs	Mos	Yrs	Mos	Yrs	Mos	
												\$
												\$
												\$

Subject 3 - Name - [REDACTED] Subject's Description Code \* - 16

<input type="checkbox"/> Pretrial <input type="checkbox"/> Diversion <input type="checkbox"/> Dismissal <input type="checkbox"/> Acquittal	<b>- Convictions -</b> Enter conviction and sentence data in space at right. If more than four sections are involved, limit to the four most relevant.	<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor <input type="checkbox"/> Plea <input type="checkbox"/> Trial	Conviction			In-Jail Term		Suspended		Probation		Fine
			Title	Section	Counts	Yrs	Mos	Yrs	Mos	Yrs	Mos	
												\$
												\$
												\$

Attach additional forms if reporting final judicial process on more than three subjects.

Remark: [REDACTED] were indicted on 15 counts of mail fraud on 4/14/83. [REDACTED] plead guilty to one count and given 300 hours of community service on 7/14/83. [REDACTED] plead guilty (nolo contendere) to two counts and will be sentenced on 9/7/83. On 7/21/83, [REDACTED] were indicted on 6 counts of mail fraud, and [REDACTED] was indicted on three counts of Mail Fraud.

\* See codes on reverse side. Subject to Section 105 in Section 105-105 only when reporting a conviction.

# Property Type Codes \*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
10	Dangerous Drugs
11	Weapons or Explosives
12	Businesses or Assets Forfeited
20	All Other Recoveries (not falling in any category above)

# Potential Economic Loss Prevented (PELP) Type Codes \*

Code No	Description
21	Blank Negotiable Instruments or Tickets
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft From, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

\*The case file must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is \$1 million or more, or if the PELP is \$5 million or more.

## Subject Description Codes \*

- Enter Description Code Only When Reporting a Conviction -

### Organized Crime Subjects:

- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

### Known Criminals (Other Than OC Members):

- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

### Foreign Nationals:

- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

### Terrorists:

- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

### Union Members:

- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

### Government Official Or Employees:

- 6A Federal - Elected Official
- 6B Federal - Nonelected Executive Level
- 6C Federal - All Other
- 6D State - Elected Official
- 6E State - Nonelected Executive Level
- 6F State - All Other
- 6G Local - Elected Official
- 6H Local - Nonelected Executive Level
- 6J Local - All Other

### Bank Officers Or Employees:

- 7A Bank Officer
- 7B Bank Employee

### All Others:

- 8A All Other Subjects (not fitting above categories)

\*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

## Instructions

### Subject Priorities for FBI Arrest or Locates:

- A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.
- B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of \$25,000 or convicted of such crimes in the past five years.
- C - All others

### Claiming Convictions Other Than Federal:

It is permissible to claim a local (state, county or local) conviction if the FBI's investigation significantly contributed to the successful local prosecution. A succinct narrative setting forth the basis for claiming a local conviction must accompany this report. When claiming a conviction other than Federal, enter the word "LOCAL" in the "Conviction-Section" block, disregard the number of conviction counts, but enter the sentence in the appropriate blocks. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for all capital punishment sentences.

### Reporting Convictions:

- Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:
  1. The subject becomes a fugitive after conviction but prior to sentencing.
  2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

### Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

### Investigative Assistance or Techniques (IA/Ts) Used:

- Since more than one IA/T could have contributed to the accomplishment, each IA/T must be rated.
- The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

FBI

b6  
b7c

## TRANSMIT VIA:

- ☐ Teletype  
☐ Facsimile  
☒ AIRTEL

## PRECEDENCE:

- ☐ Immediate  
☐ Priority  
☐ Routine

## CLASSIFICATION:

- ☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☐ UNCLAS

Date 12/14/83

TO: DIRECTOR, FBI (196-1915)

FROM: SAC, SAN FRANCISCO (196B-808) (P) (SJRA)

RE: "CHANGED" ①

[REDACTED]  
dba SCIENTIFIC CUSTOM METAL PRODUCTS, INC.

② TWO SUNS MANUFACTURING, INC.;

[REDACTED]  
dba SCIENTIFIC CUSTOM METAL PRODUCTS, INC.,

S &amp; S PRECISION, INC.;

[REDACTED]  
dba S & S PRECISION, INC.;[REDACTED]  
dba TWO SUNS MANUFACTURING, INC.;[REDACTED]  
dba NORTHWEST CONSULTANTS;[REDACTED]  
dba MANAGERIAL MANPOWER CONSULTANTS;[REDACTED]  
dba MATTHEWS CONSULTING SERVICE;[REDACTED]  
dba ALPINE ENTERPRISES;

[REDACTED]  
[REDACTED]  
FRAUD BY WIRE; MAIL FRAUD;  
POSSIBLE CONSPIRACY;  
OBSTRUCTION OF JUSTICE (B)  
OO: SF

DE-43

② - Bureau  
2 - San Francisco  
JWR:rvs  
(4)

Approved: [Signature]

FEB 14 1984

Transmitted

(Number)

(Time)

Per [Signature]

SF 196B-808  
JWR:rvs

Title has been marked changed to include additional subjects, namely [redacted] and the character Obstruction of Justice.

Re San Francisco report of SA [redacted] dated 6/30/82; San Francisco report of SA [redacted] dated 12/30/82.

b6  
b7C

For information of the Bureau, [redacted]  
[redacted]  
and [redacted] have been indicted, tried, and sentenced. [redacted]  
[redacted] will be sentenced on 12/19/83. The details of these indictments and sentencings have been and are being reported by FD-515.

On 12/8/83, AUSA [redacted] advised that he wants to commence an investigation concerning [redacted]  
[redacted] attorney, and [redacted] attorney and representing Alpine Enterprises, inasmuch as there are a number of indications that these individuals counseled their clients to misrepresent documents to the Grand Jury.

San Francisco is continuing their investigation concerning this matter; and will keep the Bureau advised.

FROM: SAC, SAN FRANCISCO  
SUBJECT:

ETAL  
FBW; MF; POSSIBLE  
CONSPIRACY  
OO: SF

Bureau File Number

Field Office File Number

06627

Squad or RA Number

☐ - X If a joint FBI/DEA (or other Federal Agency \*\*) operation.

☒ - X If case involves corruption of a public official (Federal, State or Local).

## Investigative Assistance or Techniques Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☐ No ☒ Yes - If Yes, rate each used as follows:

1 = Used, but did not help  
2 = Helped, but only minimally  
3 = Helped, substantially  
4 = Absolutely essential

1. Acctg Tech Assistance
2. Aircraft Assistance
3. Computer Assistance
4. Consensual Monitoring
5. ELSUR - FISC

6. ELSUR - Title III	
7. Hypnosis Assistance	
8. Ident Div Assistance	
9. Informant Information	
10. Lab Div Exams	

1. Lab Div Field Support
2. Pen Registers
3. Photographic Coverage
4. Polygraph Assistance
5. Search Warrants Executed

16. Show Money Usage
17. Surveil. Sqd Asst
18. SWAT Team Action
19. Telephone To Records
20. Undercover Operation
21. Visual Invest. Analysis (VIA)

b7E

<b>A. Preliminary Judicial Process</b> (Number of subjects)	Complaints	Informations <b>1</b>	Indictments	<b>D. Recoveries, Restitutions, Court Ordered Forfeitures or Potential Economic Loss Prevented (PELP)</b>					
<b>B. Arrests, Locates, Summonses &amp; Subpoenas (No. of subjects)</b>  FBI Arrests -  FBI Locates -  Number of Subjects of FBI Arrests Who Physically Resisted _____ Number of Subjects of FBI Arrests Who Were Armed _____ Criminal Summons <u>  1  </u> Subpoenas Served _____	Subject Priority (See Reverse)			Property or PELP Type Code *	Recoveries	Restitutions	Court Ordered Forfeitures	Potential Economic Loss Prevented	
	A	B	C		\$	\$ 50,000	\$ 20,000	\$	
					\$	\$	\$	\$	
					\$	\$	\$	\$	
					\$	\$	\$	\$	
					\$	\$	\$	\$	
					\$	\$	\$	\$	
<b>C. Release of Hostages: (Number of Hostages Released)</b>  Hostages Held By Terrorists _____; All Other Hostage Situations _____				<b>E. Civil Matters</b>		Government Defendant		Government Plaintiff	
				Amount of Suit		\$		\$	
				Settlement or Award		\$		\$ Enter AFA Payment Here	

F. Final Judicial Process:		Judicial District <b>ND</b>   <b>CA</b>		(Use two letter state abbreviations per U.S. Post Office Guide. For Example - The Northern District of Texas as ND TX; The District of Maine as ME in the state field only.)									
Subject 1 - Name -				Subject's Description Code * - <b>8A</b>									
<input type="checkbox"/> Pretrial <input type="checkbox"/> Diversion <input type="checkbox"/> Dismissal <input type="checkbox"/> Acquittal	<b>- Convictions -</b> Enter conviction and sentence data in space at right. If more than four sections are involved, limit to the four most relevant.	<input checked="" type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor <input checked="" type="checkbox"/> Plea <input type="checkbox"/> Trial	Conviction			In-Jail Term		Suspended		Probation		Fine \$ \$ \$ \$	
			Title	Section	Counts	Yrs	Mos	Yrs	Mos	Yrs	Mos		
			18	1341	1	5							
Subject 2 - Name -				Subject's Description Code * - <b>8A</b>									
<input type="checkbox"/> Pretrial <input type="checkbox"/> Diversion <input type="checkbox"/> Dismissal <input type="checkbox"/> Acquittal	<b>- Convictions -</b> Enter conviction and sentence data in space at right. If more than four sections are involved, limit to the four most relevant.	<input checked="" type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor <input checked="" type="checkbox"/> Plea <input type="checkbox"/> Trial	Conviction			In-Jail Term		Suspended		Probation		Fine \$ 1,000 \$ 18 \$ \$	
			Title	Section	Counts	Yrs	Mos	Yrs	Mos	Yrs	Mos		
			18	1341						5			
Subject 3 - Name -				Subject's Description Code * -									
<input type="checkbox"/> Pretrial <input type="checkbox"/> Diversion <input type="checkbox"/> Dismissal <input type="checkbox"/> Acquittal	<b>- Convictions -</b> Enter conviction and sentence data in space at right. If more than four sections are involved, limit to the four most relevant.	<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor <input type="checkbox"/> Plea <input type="checkbox"/> Trial	Conviction			In-Jail Term		Suspended		Probation		Fine \$ \$ \$ \$	
			Title	Section	Counts	Yrs	Mos	Yrs	Mos	Yrs	Mos		

Attach additional forms if reporting final judicial process on more than three subjects

Remarks:

against [redacted] for Mail Fraud. On 10/14/83, Judge Robert Aguilar sentenced [redacted] to 5 yrs on one count of Mail Fraud. The execution was stayed for 120 days to allow for a motion to modify the sentence. On 11/16/83, Judge Spencer Williams sentenced [redacted] to 300 hrs. of community service, fined \$1,000 and ordered restitution to Atari, Inc. for \$50,000.

2 - Bureau  
2 - Field Office

\* See codes on reverse side. Subject description codes in Section F are required only when reporting a conviction.

•• Identify the other Federal Agency(ies) in the Remarks Section.

(1 - 66-1843)  
JWR:rvs (4)

FBI/DOJ

**Property Type Codes\***

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
10	Dangerous Drugs
11	Weapons or Explosives
12	Businesses or Assets Forfeited
20	All Other Recoveries (not falling in any category above)

**Potential Economic Loss Prevented (PELP) Type Codes \***

Code No	Description
21	Blank Negotiable Instruments or Tickets
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft From, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

\*The case file must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is \$1 million or more, or if the PELP is \$5 million or more.

**Subject Description Codes \***

- Enter Description Code Only When Reporting a Conviction -

**Organized Crime Subjects:**

- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

**Known Criminals (Other Than OC Members):**

- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

**Foreign Nationals:**

- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

**Terrorists:**

- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

**Union Members:**

- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

**Government Official Or Employees:**

- 6A Federal - Elected Official
- 6B Federal - Nonelected Executive Level
- 6C Federal - All Other
- 6D State - Elected Official
- 6E State - Nonelected Executive Level
- 6F State - All Other
- 6G Local - Elected Official
- 6H Local - Nonelected Executive Level
- 6J Local - All Other

**Bank Officers Or Employees:**

- 7A Bank Officer
- 7B Bank Employee

**All Others:**

- 8A All Other Subjects (not fitting above categories)

\*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

**Instructions****Subject Priorities for FBI Arrest or Locates:**

- A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.
- B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of \$25,000 or convicted of such crimes in the past five years.
- C - All others

**Claiming Convictions Other Than Federal:**

It is permissible to claim a local (state, county or local) conviction if the FBI's investigation significantly contributed to the successful local prosecution. A succinct narrative setting forth the basis for claiming a local conviction must accompany this report. When claiming a conviction other than Federal, enter the word "LOCAL" in the "Conviction-Section" block, disregard the number of conviction counts, but enter the sentence in the appropriate blocks. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for all capital punishment sentences.

**Reporting Convictions:**

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

- 1. The subject becomes a fugitive after conviction but prior to sentencing.
- 2. The subject dies after conviction but prior to sentencing.

\*An explanation is required in the Remarks section for either of the above exceptions.

**Rule 20 Situations:**

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

**Investigative Assistance or Techniques (IA/Ts) Used:**

-Since more than one IA/T could have contributed to the accomplishment, each IA/T must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

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